

B. [] Conditional Petition for Extension of Time in Prior Application

(complete this item if previous item not applicable)

- [] A conditional petition for extension of time is being filed in the pending prior application.
- [] A copy of the conditional petition filed in the prior application is attached

20. Further Inventorship Statement Where Benefit of Prior Application(s) Claimed

NOTE: IF THE CONTINUATION, CONTINUATION-IN-PART, OR DIVISIONAL APPLICATION IS FILED BY LESS THAN ALL THE INVENTORS NAMED IN THE PRIOR APPLICATION A STATEMENT MUST ACCOMPANY THE APPLICATION WHEN FILED REQUESTING DELETION OF THE NAMES OF THE PERSON OR PERSONS WHO ARE NOT INVENTORS OF THE INVENTION BEING CLAIMED IN THE CONTINUATION, CONTINUATION-IN-PART, OR DIVISIONAL APPLICATION. 37 CFR 1.62(A) [EMPHASIS ADDED]. (DEALING WITH THE FILE WRAPPER CONTINUATION SITUATION).

NOTE: IN THE CASE OF A CONTINUATION-IN-PART APPLICATION WHICH ADDS AND CLAIMS ADDITIONAL DISCLOSURE BY AMENDMENT, AN OATH OR DECLARATION AS REQUIRED BY S 1.63 MUST BE FILED. IN THOSE SITUATIONS WHERE A NEW OATH OR DECLARATION IS REQUIRED DUE TO ADDITIONAL SUBJECT MATTER BEING CLAIMED, ADDITIONAL INVENTORS MAY BE NAMED IN THE CONTINUING APPLICATION. IN A CONTINUATION OR DIVISIONAL APPLICATION WHICH DISCLOSES AND CLAIMS ONLY SUBJECT MATTER DISCLOSED IN A PRIOR APPLICATION, NO ADDITIONAL OATH OR DECLARATION IS REQUIRED AND THE APPLICATION MUST NAME AS INVENTORS THE SAME OR LESS THAN ALL THE INVENTORS IN THE PRIOR APPLICATION. 37 CFR 1.60(C). (DEALING WITH THE CONTINUATION SITUATION).

(complete applicable item (a), (b) and/or (c) below)

- (a) [x] This application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above and the inventor(s) in this application are
- [] the same.
- [x] the following inventor(s) have been deleted:
Jay Qin, Robin Bek
(type name(s) of inventor(s) to be deleted)
- [x] the following inventor(s) have been added:
David Utley, Stuart D. Edwards
(type name(s) of inventor(s) to be added)
- (b) [] This application discloses and claims additional disclosure and a new declaration or oath is being filed. With respect to the prior application the inventor(s) in this application are
- [] the same.
- [] the following inventor(s) have been deleted:

(type name(s) of inventor(s) to be deleted)
- [] the following inventor(s) have been added:

(type name(s) of inventor(s) to be added)

(c) The inventorship for all the claims in this application are

☒ the same.

☐ not the same, and an explanation, including the ownership of the various claims at the time the last claimed invention was made

☐ is submitted.

☐ will be submitted.

21. Abandonment of Prior Application (if applicable)

☐ Please abandon the prior application at a time while the prior application is pending or when the petition for extension of time or to revive in that application is granted and when this application is granted a filing date so as to make this application copending with said prior application.

NOTE: ACCORDING TO THE NOTICE OF MAY 13, 1983 (103, TMOG 6-7) THE FILING OF A CONTINUATION OR CONTINUATION-IN-PART APPLICATION IS A PROPER RESPONSE WITH RESPECT TO A PETITION FOR EXTENSION OF TIME OR A PETITION TO REVIVE AND SHOULD INCLUDE THE EXPRESS ABANDONMENT OF THE PRIOR APPLICATION CONDITIONED UPON THE GRANTING OF THE PETITION AND THE GRANTING OF A FILING DATE TO THE CONTINUING APPLICATION.

22. Petition for Suspension of Prosecution for the Time Necessary to File an Amendment

WARNING: THE CLAIMS OF A NEW APPLICATION MAY BE FINALLY REJECTED IN THE FIRST OFFICE ACTION IN THOSE SITUATIONS WHERE (1) THE NEW APPLICATION IS A CONTINUING APPLICATION OF, OR A SUBSTITUTE FOR, AN EARLIER APPLICATION, AND (2) ALL THE CLAIMS OF THE NEW APPLICATION (A) ARE DRAWN TO THE SAME INVENTION CLAIMED IN THE EARLIER APPLICATION, AND (B) WOULD HAVE BEEN PROPERLY FINALLY REJECTED ON THE GROUNDS OF ART OF RECORD IN THE NEXT OFFICE ACTION IF THEY HAD BEEN ENTERED IN THE EARLIER APPLICATION." MPEP, S 706.07(B).

NOTE: WHERE IT IS POSSIBLE THAT THE CLAIMS ON FILE WILL GIVE RISE TO A FIRST ACTION FINAL FOR THIS CONTINUATION APPLICATION AND FOR SOME REASON AN AMENDMENT CANNOT BE FILED PROMPTLY (E.G., EXPERIMENTAL DATA IS BEING GATHERED) IT MAY BE DESIRABLE TO FILE A PETITION FOR SUSPENSION OF PROSECUTION FOR THE TIME NECESSARY.

(check the next item, if applicable)

☐ There is provided herewith a Petition To Suspend Prosecution for the Time Necessary to File An Amendment (New Application Filed Concurrently)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Utley et al.

Group Art Unit: Unknown

Serial No.: Unknown

Examiner: Unknown

Filed: Unknown

For: Systems and Methods for Monitoring and Controlling Use of Medical Devices

Commissioner of Patents and Trademarks
Washington, D.C. 20231

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN
THREE MONTHS OF FILING OR BEFORE MAILING OF FIRST OFFICE ACTION
(37 CFR 1.97(b))**

NOTE: "An information disclosure statement shall be considered by the Office if filed: (1) within three months of the filing date of a national application; (2) within three months of the date of entry of the national stage as set forth in S 1.491 in an international application; or (3) before the mailing date of a first Office action on the merits, whichever event occurs last." 37 CFR 1.97(b).

NOTE: The "filing date of a national application" under 37 CFR 1.97(b) has two possible meanings. Where the filing is a direct one to the United States Patent & Trademark Office, the filing is defined in 37 CFR 1.53(b) as "the date on which: (1) A specification containing a description pursuant to S 1.71 and at least one claim pursuant to S 1.75; and (2) any drawing required by S 1.81(a), are filed in the Patent and Trademark Office in the name of the actual inventor or inventors as required by S 1.41." 37 CFR 1.97(b)(1). On the other hand, an international application that enters the national stage occurs when the applicant has filed the documents and fees required by 35 U.S.C. S 371(c) within the periods set forth in S 1.494 or S 1.495. 35 U.S.C. S 371(c) requires the filing of the following: (1) the national fee; (2) a copy of the international application, unless already sent by the International Bureau, and an English translation if filed in another language; (3) amendments under PCT Article 19, with a translation into English if made in another language; (4) an oath or declaration; and (5) a translation into English of any annexes to the international preliminary examination report, if such annexes were made in another language. 37 CFR 1.97(b)(2).

**IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION
DISCLOSURE STATEMENT**

The information disclosure statement submitted herewith is being filed WITHIN THREE MONTHS OF THE FILING DATE OF THE APPLICATION OR DATE OF ENTRY INTO THE NATIONAL STAGE OF AN INTERNATIONAL APPLICATION OR BEFORE THE MAILING DATE OF A FIRST OFFICE ACTION ON THE MERITS, WHICHEVER EVENT OCCURS LAST. 37 CFR 1.97(b).

CERTIFICATION UNDER 37 C.F.R. 1.10*
(Express Mail label number is mandatory.)
Express Mail certification is optional.)

I hereby certify that this New Application Transmittal and the documents referred to as attached therein are being deposited with the United States Postal Service on this date 10 October 2001, in an envelope as 'Express Mail Post Office to Addressee' mailing Label Number EL 88914704605 addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Judith Biebel
(type or print name of person mailing paper)

Signature of person mailing paper